

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

Application No. 10/749,354

Filing Date December 31, 2003

First Named Inventor Gregory Waimong Chan

Art Unit 3763

Examiner Name Unknown

5618P3473

ENCLOSURES (check all that apply)

After Allowance Committee Group

	ENCLO	SURES (check all that apply)				
Fee Transmittal Form		Drawing(s)	After Allowance Communication to Group			
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Respon	ise	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/decla	aration(s)	Petition to Convert a Provisional Application	Proprietary Information			
Extension of Time Re	quest	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Express Abandonmen	nt Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
Information Disclosure	e Statement	Request for Refund	Copy of one foreign reference; return receipt			
PTO/SB/08		CD, Number of CD(s)	postcard			
Certified Copy of Prio Document(s)	ority		'			
Response to Missing Incomplete Application	Parts/ n					
Basic Filin		Remarks	!			
Declaration	n/POA					
Response to M Parts under 37 1.52 or 1.53	lissing CFR					
	SIGNATUR	E OF APPLICANT, ATTORNEY, OR AGI	ENT			
Firm V	William Thom	as Babbitt, Reg. No. 39,591				
In P. C. Land						
Signature William V Buthith						
Date 5/20/04						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this corre postage as first class mail in VA 22313-1450.	espondence is being an envelope addre	ng deposited with the United States Postal Service essed to: Mail Stop Amendment, Commissioner to	e on the date shown below with sufficient for Patents, P.O. Box 1450, Alexandria,			
Typed or printed name						
Signature	hears	Callern	Date 5/20/04			



## FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** 

(\$)

0.00

Complete if Known

Application Number 10/749,354

Filing Date December 31, 2003

First Named Inventor Gregory Waimong Chan

Examiner Name Unknown

Art Unit 3763

Attorney Docket No. 5618P3473

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None		DDITIO	NAL	FEES	3	
Deposit Account	Large	Entity	Sma	III Entity	у	
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	- ExPosition 5 DM	
Account Number 02-2666					FeeDescription FeePaid	
Deposit	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP					cover sheet.	
The Commissioner is authorized to: ( check all that apply)	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification  For filing a request for ex parte reexamination	
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1804	920 •	1804	920	Requesting publication of SIR prior to	
Charge any additional fee(s) or underpayment of fees as required under 37		4.040.4		4.040	Examiner action	
CFR §§ 1.16, 1.17, 1.18 and 1.20.  Charge fee(s) indicated below, except for the filling fee	1805	1,840 *	1805	1,840	Requesting publication of SIR after Examiner action	
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity  Fee Fee Fee Fee Fee Description FeePaid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1404 1402	330 330	2401	165 165	Notice of Appeal  Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee	1403	290	2402	145	Request for oral hearing	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional	
	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from Claims below FeePart	1502	480	2502	240	Design issue fee	
Claims below FeePaid  Total Claims 50 96 0 x 18.00 0 \$0.00	1503 1460	640 130	2503 2460	320 130	Plant issue fee Petitions to the Commissioner	
Independent Caims 3 9 0 x 86.00 = \$0.00	1807	50	1807	50	Prosessing fee under 37 CFR 1.17(q)	
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Strnt	
Large Entity   Small Entity	8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee Fee Description Code (\$) Code (\$)					property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
1203 290 2203 145 Multiple Dependent claim, if not paid	4004			-05	examined (37 CFR § 1.129(b))	
1204 86 2204 43 **Reissue independent claims over original patent	1801 1802	770 900	2801 1802	385 900	Request for Continued Examination (RCE)  Request for expedited examination	
1205 18 2205 9 **Reissue claims in excess of 20 and over	1002	3.U	1002	500	of a design application	
Other fee (specify)						
SUBTOTAL (2) (\$) 0.00						
**or number previously paid, if greater, For Reissues, see below *Reduced by Basic Filing Fee Paid *SUBTOTAL (3) (\$)						
SUBMITTED BY					Complete (if applicable)	
Name (Print/Type) William Thomas Babbitt		egistratio tomey/Age		3	39,591 Telephone (310) 207-3800	
Signature Millian Pat	ut				Date 5/20/04	

Docket No.: 5618P3473

IN THE UNEXED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GREGORY WAIMONG CHAN, ET AL.

Application No.: 10/749,354

Filed: December 31, 2003

For: **NEEDLE CATHETER** 

Art Group: 3763

Examiner: Unknown

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 5618P3473

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

William Thomas Babbitt, Reg. No. 39,591

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

5/20/04

Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Nedy Calderon

Date

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURES

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known		
Application Number	10/749,354	
Filing Date	December 31, 2003	
First Named Inventor	Gregory Waimong Chan	
Art Unit	3763	
Examiner Name	Unknown	
Attorney Docket Number	5618P3473	

			U.S. PATE	ENT DOCUMENTS	
Cupatr		Document Number	Publication Date	V(D-11	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No.1	Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US-			
		US-		•	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY		Pages, Columns, Lines.	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		EP-0712614	05-22-1996	Brown, et al.		
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Examiner	Date
Signature	Considered

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.